



Sector  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Neelam N. Vaidya, et al.  
Application No. : 09/747,428  
Filed : December 22, 2000  
Title : **MANAGING A LAYERED HIERARCHICAL DATA SET**  
  
Grp./Div. : 2171  
Examiner : Not Assigned  
  
Reference : 0007056-0174/P5701NP/ARG/EKL

**LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION**

**CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8A)**

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D. C. 20231.

Date of Deposit: April 20, 2001

Jose Ramos

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Commissioner:

COUDERT BROTHERS  
333 South Hope St., 23<sup>rd</sup> Floor  
Los Angeles, California 90071  
(213) 229-2900

In response to the Notice to File Missing Parts of Non-Provisional Application dated  
**February 1, 2001**, enclosed are:

1. Part 2 - Copy of Notice to File Missing Parts of Non-Provisional Application.
2. Originally signed Declaration(s)
3. Check for **\$920.00** which includes the basic filing fee of \$710. additional claim fee of \$80. and surcharge of \$130. for a non-small entity.
4. Appropriate set of drawings (9 sheets) in compliance with 37 CFR 1.84 (g).
5. Request for extension of time transmittal letter to one (1) month, with a check in the amount of \$110. for the extension fee.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 501215. Please show our reference number with any charge or credit to our Deposit Account. Our Customer Number is 23600. A copy of this letter is enclosed.

Please address all communications to **J. D. Harriman II, COUDERT BROTHERS,**  
**333 South Hope St., 23<sup>rd</sup> Floor, Los Angeles, CA 90071.**

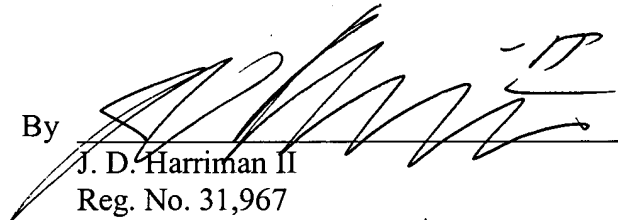
Respectfully submitted,

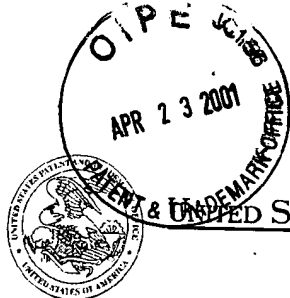
COUDERT BROTHERS

Date:

4/20/01

By

  
J. D. Harriman II  
Reg. No. 31,967



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,428	12/22/2000	Neelam N. Vaidya	0007056- 0174/P5701NP/ARG/

CONFIRMATION NO. 1488

## FORMALITIES LETTER



\*OC000000005725387\*

J.D. Harriman II  
COUDERT BROTHERS  
333 South Hope Street, 23rd Floor  
Los Angeles, CA 90071

Date Mailed: 02/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a

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04/26/2001 130.00 DP  
01 FC101 710.00 DP  
02 FC105 130.00 DP  
03 FC102 80.00 DP

bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

*Loase Rera*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE